

1744  
#3

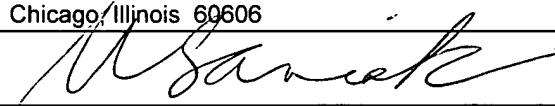
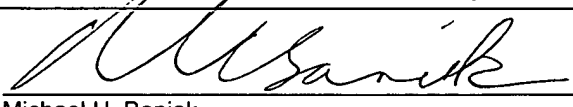
<b>PIPE TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Attorney Docket No.	3712/31
	Application Number	09/995,101
	Filing Date	November 27, 2001
	First Named Inventor	Paul Fazio
	Group Art Unit	1744
Examiner		

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Amendment / Response to Restriction/Election Req. <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Extension of Time Request (duplic) <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement, PTO-1449, art <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawings: <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> Request of Refund	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Post Card Receipt <input type="checkbox"/> Additional Enclosure(s) (please identify below):  <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0930. A duplicate copy of this sheet is enclosed.		

### CALCULATION OF FEE

					Small Entity		Large Entity	
	Claims After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus		0	x \$9=	0	x \$18=	
Indep.		Minus		0	x \$42=	0	x \$84=	
First Presentation of Multiple Dep. Claim					+\$140=	---	+\$280=	
					total add'l fee	\$ 0	total add'l fee	\$ 0

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name	Michael H. Baniak Registration No. 30,608 BANIAK PINE & GANNON 150 N. Wacker Drive, Suite 1200 Chicago, Illinois 60606		
Signature		Date:	March 28, 2002
CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: March 28, 2002			
Signature		Date:	March 28, 2002
Michael H. Baniak			

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on March 28, 2002.

H

Michael H. Baniak  
Name of Applicant, Assignee, or Registered Representative

*M. Baniak*  
Signature

March 28, 2002  
Date of Signature

PATENT  
3712/31



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Application of:

PAUL FAZIO

Serial No. 09/995,101

Filed: November 27, 2001

Title: BRUSH MOUNTING APPARATUS WITH  
RESTRICTED SWING AND METHODS  
THEREOF

Examiner:

Group Art Unit: 1744

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicant brings to the attention of the Examiner the documents listed in the enclosed form PTO-1449. The documents listed are also enclosed. Applicant requests that these documents be reviewed, considered and made of record.

It is believed that no fees are due for filing this communication since Applicant has not received a first Office Action.

March 28, 2002

BANIAK PINE & GANNON  
150 North Wacker Drive  
Suite 1200  
Chicago, Illinois 60606  
(312) 673-0360  
(312) 673-0361 facsimile

Respectfully submitted,

*M. Baniak*

Michael H. Baniak  
Registration No. 30,608



<b>FORM PTO-1489</b> <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  Sheet 1 of 1	<b>SERIAL NO.</b> 09/995,101	<b>CASE NO.</b> 3712/31
	<b>FILING DATE</b> November 27, 2001	<b>GROUP ART UNIT</b> 1744
	<b>APPLICANT(S):</b> PAUL FAZIO	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	3,304,565	02/21/1967	Fuhring	15/21	05/17/1965
	A2	3,471,883	10/14/1969	Ennis	15/21	05/07/1968
	A3	3,772,724	11/20/1973	Wilson	15/21 D	12/30/1971
	A4	3,708,816	01/09/1973	Napoli	15/21 E	09/09/1970
	A5	3,777,326	12/11/1973	Haley <i>et al.</i>	15/21 D	12/10/1971
	A6	3,793,667	02/26/1974	Capra	15/21 E	03/27/1972
	A7	4,021,877	05/10/1977	Miner	15/53 A	06/27/1975
	A8	4,194,923	03/25/1980	Johnson	134/6	07/14/1978
	A9	4,198,722	04/22/1980	Ennis	15/53 AB	06/15/1978
	A10	4,225,995	10/07/1980	Ennis	15/53 AB	03/06/1978
	A11	4,270,958	06/02/1981	Ennis	134/6	09/07/1979
	A12	4,299,003	11/10/1981	Ennis	15/53 AB	09/17/1980
	A13	4,332,625	06/01/1982	Ennis	134/6	05/05/1980
	A14	4,354,291	10/19/1982	Ennis	15/53 AB	06/13/1980
	A15	5,715,558	02/10/1998	Johnson	15/53.3	04/24/1996

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	A16	SU-500-998	01/26/1973	Russia	B 60S 3/04		X
	A17	62-74754	04/06/1987	Japan	B 60S 3/06		X

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A18	Wrap Around A.V.W. Equipment Co., Inc. brochure

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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.